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10/8/03  
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ATTORNEY DOCKET NO.: MLCO.P-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Birle, et al.  
Serial No.: 10/064,745  
Group Art Unit: 3624

Filing Date: August 12, 2002  
Confirmation No.: 6610

REQUEST TO CORRECT FILING RECEIPT

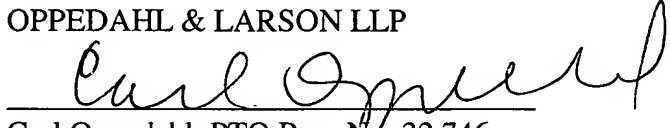
Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

Applicant respectfully requests the address for inventor Yonathan Epelbaum be changed. His address should be New York, N.Y., as indicated on the Combined Declaration and Power of Attorney. A hand-corrected copy of the Corrected Filing Receipt and a copy of the filed Combined Declaration and Power of Attorney are enclosed.

Respectfully,

OPPEDAHL & LARSON LLP

  
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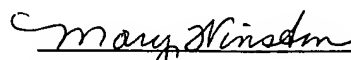
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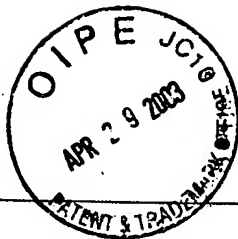
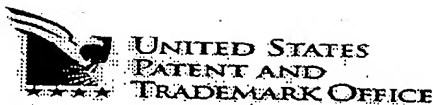
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CERTIFICATE OF MAILING under 37 CFR § 1.8(a)

I hereby certify that this paper and its attachments mentioned herein, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, DC 20231 on April 24, 2003.

April 24, 2003  
Date of Signature

  
Mary Winston

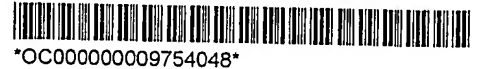


Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/064,745	08/12/2002	3624	3696	MLCO.P002	6	95	17

21121  
OPPEDAHL AND LARSON LLP  
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CONFIRMATION NO. 6610  
UPDATED FILING RECEIPT



\*OC000000009754048\*

Date Mailed: 04/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

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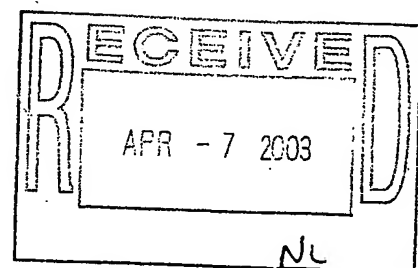
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#### Domestic Priority data as claimed by applicant

This appln claims benefit of 60/311,574 08/10/2001

#### Foreign Applications

COPY



If Required, Foreign Filing License Granted: 08/20/2002

Projected Publication Date: 07/10/2003

Non-Publication Request: No

Early Publication Request: Yes

Title

Convertible financial instruments with contingent payments

Preliminary Class

705

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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MLCO.P-002

**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Epelbaum

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which(a) ☐ is attached hereto.(b) ☒ was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.(c) ☐ was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.


SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600**RECEIVED**  
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**GROUP 3600**



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DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
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POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	

NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
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POST OFFICE ADDRESS <del>500 Chambers St. #40</del> 444 12th Street 3E Y.O		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282 11215 Y.O
DATE 1/14/03		SIGNATURE 	

NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
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POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	

NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
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POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	

NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
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POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	

NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
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POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE		SIGNATURE	

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
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POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE		SIGNATURE	

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
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DATE		SIGNATURE	

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POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE		SIGNATURE	



NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karens Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	

NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE		SIGNATURE	